



Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT****Complete If Known**

Application No.	10/682,644
Filing Date	October 8, 2003
First Named Inventor	Ray Soon Waldin, Jr.
Art Unit	not yet known
Examiner Name	not yet known
Attorney Docket Number	20423-08297

Sheet 1 of 3

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document No. Number - Kind Code <sup>2</sup> (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
<i>MX</i>		<input checked="" type="checkbox"/> US-6,651,249	11-18-2003	Waldin, et al.
		<input checked="" type="checkbox"/> US-6,535,894	03-18-2003	Schmidt, et al.
		<input checked="" type="checkbox"/> US-6,510,552	01-21-2003	Benayoun, et al.
		<input checked="" type="checkbox"/> US-6,349,407	02-19-2002	Towfiq
		<input checked="" type="checkbox"/> US-6,151,643	11-21-2000	Cheng, et al.
		<input checked="" type="checkbox"/> US-6,119,165	09-12-2000	Li, et al.
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		<input checked="" type="checkbox"/> US-6,035,423	03-07-2000	Hodges, et al.
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		<input checked="" type="checkbox"/> US-5,960,204	09-28-1999	Yinger, et al.
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		<input checked="" type="checkbox"/> US-5,909,581	06-01-1999	Park
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		<input checked="" type="checkbox"/> US-5,699,275	12-16-1997	Beasley, et al.
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<i>MX</i>		<input checked="" type="checkbox"/> US-5,182,806	01-26-1993	McKeeman, et al.

Examiner Signature	<i>M. Stuchman</i>	Date Considered	5-10-2006
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Sheet	2	of	3	Attorney Docket Number	20423-08297

U.S. PATENT DOCUMENTS				
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MX		US-5,155,847	10-13-1992	Kirouac, et al.
		US-4,809,170	02-28-1989	Leblang et al.
		US-4,714,992	12-22-1987	Gladney, et al.
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MX		US-3,969,723	07-13-1976	Kennicott

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Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> - Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>6</sup>
MX		EP 0230616 A2	08-05-1987	International Business Machines Corporation	
MX		EP 0774720 A2	05-21-1997	Hewlett-Packard Company	
MX		WO 96/32679	10-17-1996	Highwaymaster Communications, Inc.	

OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>6</sup>	
mf	-	AMBRIOLA, Vincenzo; BENDIX, Lars; and CIANCARINI, Paolo, "The Evolution of Configuration Management and Version Control, " Software Engineering Journal, Nov. 1990, pp. 303-310, retrieved from IEEE database, Sep. 24, 2002, U.S.A.		
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Examiner Signature	<i>M. Helton</i>	Date Considered	5.10.2006
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20423/08297/DOCS/1407232.1



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<i>MS</i>		Hurwitz Group, "Application Management for Distributed Applications, " pp. 1-7, Jun. 1998, U.S.A.	
		LINDSAY, Peter; LIU, Yaowei, and TRAYNOR, Owen, "A Generic Model for Fine Grained Configuration Management Including Version Control and Traceability," 1997 IEEE, retrieved from the IEEE database Sep. 24, 2002, U.S.A.	
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		Pocket Soft, ".RTPatch® Professional for Windows, NT, and DOS Binary Update System," pp. 1-6. Jun. 23, 1998, <a href="http://www.pocketsoft.com/whtwind.html">http://www.pocketsoft.com/whtwind.html</a> , U.S.A.	
		POLLOCK, Lori, "Incremental Version of Iterative Data Flow Analysis," IEEE Trans Software Eng., v 15, n 12, Abstract Only, Dec. 1989, U.S.A.	
		REICHENBERGER, Christoph, "Orthogonal Version Management," Universitat Linz, Institut fur Informatik, Austria, 1989 ACM.	
		SUK OH, AM, et al. "An Incremental Update Propagation Scheme For A Cooperative Transaction Model," Proc. 7 <sup>th</sup> Intl. Workshop On Database And Expert Systems Appl, Abstract Only, Sep. 1996, U.S.A.	
<i>MS</i>		"User-Friendly Interface for Applying Specific Levels of Coordinated Updates to Software in the Field," IBM Technical Disclosure Bulletin, vol. 31, No. 10, Mar. 1989, Armonk, NY, U.S.A.	

Examiner Signature	<i>M. Stetson</i>	Date Considered	5.20.2006
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20423/08297/DOCS/1407232.1



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# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 2

## **Complete if Known**

Application Number	10/682,644
Filing Date	October 8, 2003
First Named Inventor	Ray Waldin
Group Art Unit	2131
Examiner Name	Not yet known
Attorney Docket Number	44420423-8297

## **U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
MX		10/211827	8/2/2002	Nachenberg	
		5,953,532	9/14/1999	Lochbaum	
		6,088,803	7/11/2000	Tso, et al.	
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## **FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>3</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
MX		EP 0735474 A2	10/2/1996	Sun Microsystems Inc.		
MX		WO 99/22325 A1	5/6/1999	Microsoft Corp.		
MX		WO 00/29945 A1	5/25/2000	Microsoft Corp.		

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27192353.V-1

3-10-2005

PTO/SB/06a (03-03)

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First Named Inventor		Ray Waldin			
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Attorney Docket Number		44420423-8297			
Sheet	2	of	2		

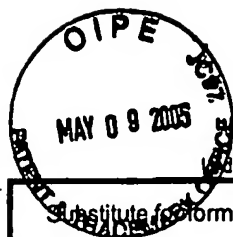
OTHER ITEMS - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	CiteNo. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
MX		"System File Protection and Windows ME", [online], last updated December 4, 2001, [retrieved on 4/9/2002] Retrieved from the Internet: <URL: <a href="http://www.Microsoft.com/hwdev/archive/sfp/winME_sfpP.asp">http://www.Microsoft.com/hwdev/archive/sfp/winME_sfpP.asp</a> >.	
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Examiner Signature	<i>M. Hutton</i>	Date Considered	5-10-2006
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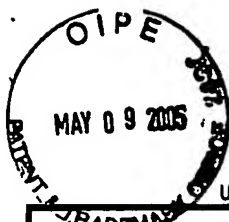
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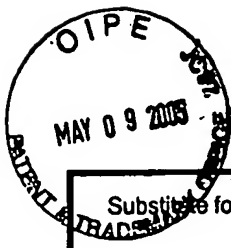
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code <sup>2</sup>	Number <sup>3</sup> Kind Code <sup>4</sup> (if known)			
MX			WO 01/37123	5/25/2001	SuperSig.com Inc.	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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<b>Substitute for form 1449A/PTO</b> <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)  Sheet 3 of 3				<b>Complete if Known</b>	
				Application Number	10/682,644
				Filing Date	October 8, 2003
				First Named Inventor	Ray Waldin
				Group Art Unit	2131
				Examiner Name	Not yet known
				Attorney Docket Number	44420423-8297

OTHER ITEMS - NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	CiteNo. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>	
ml		CRANOR, Faith, L., LaMacchia, Brian A., "Spam!" Communications of the ACM, Vol. 41, No. 8, pp. 74-83, August 1998.		
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ml		PR Newswire, "Spam Squelcher Technology Cuts Costly Impact of Spam on Enterprises and ISPs;" New Product from ePrivacy Group turns back Spam Attacks with False Positives, [Online] February 11, 2003, [Retrieved from the Internet on May 3, 2004]. Retrieved from the Internet:< URL: PR Newswire Spam Squelcher Technology Cuts Costly Impact of Spam on Enterprises and ISPs" http://www.findarticles.com/cf_dls/m4prn/2003_Feb_11/97463210/p1/article.jhtml>		
Examiner Signature		<i>M. Stuhm</i>	Date Considered	5.10.2006

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Substitute for form 1449/PTO				Complete if Known		
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				Application Number	10/682,644	
				Filing Date	October 8, 2003	
				First Named Inventor	Ray Soon Waldin Jr.	
				Group Art Unit	2132	
				Examiner Name	Gilberto Barron Jr.	
Sheet	1	of	1	Attorney Docket Number	44420423-8297	
<b>U.S. PATENT DOCUMENTS</b>						
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
MB		US-6,654,787	11/25/2003	Aronson et al.		
MA		US-6,771,765	8/3/2004	Crowther et al.		
<b>FOREIGN PATENT DOCUMENTS</b>						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Number Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD- YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
<b>OTHER ITEMS - NON PATENT LITERATURE DOCUMENTS</b>						
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.				T <sup>7</sup>
Examiner Signature	M. Stalman			Date Considered	5.10.2006	

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